



Please type a plus sign (+) inside this box →

PTO/SB/1108-00

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/087,074
Filing Date	March 1, 2002
First Named Inventor	Walton et al.
Group Art Unit	1731
Examiner Name	Unknown
Attorney Docket Number	DKT 10125

5

ENCLOSURES (check all that apply)

Fee Transmittal Form

Fee Attached

Amendment / Response

After Final

Affidavits/declaration(s)

Extension of Time Request

Express Abandonment Request

Information Disclosure Statement

Certified Copy of Priority Document(s)

Response to Missing Parts/ Incomplete Application

Response to Missing Parts under 37 CFR 1.52 or 1.53

Assignment Papers
(for an Application)

Drawing(s)

Licensing-related Papers

Petition

Petition to Convert to a Provisional Application

Power of Attorney, Revocation Change of Correspondence Address

Terminal Disclaimer

Request for Refund

CD, Number of CD(s) _____

After Allowance Communication to Group

Appeal Communication to Board of Appeals and Interferences

Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

Proprietary Information

Status Letter

Other Enclosure(s) (please identify below):

Request for Reconsideration of Petition Under 37 CFR 1.47(a)

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OFFICE OF PETITIONS

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Gary A. Samuels, Esquire Registration No.: 20,811

Signature

Date

November 12, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name

Darcy A. White

Signature

Date

November 12, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (11-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 130.00

Complete if Known

Application Number	10/087,074
Filing Date	March 1, 2002
First Named Inventor	Walton et al.
Examiner Name	Unknown
Group / Art Unit	1731
Attorney Docket No.	10125US

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OFFICE OF PETITIONS

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

Deposit Account:

Deposit
Account
Number

08-1800

Deposit
Account
Name

Hercules, Inc.

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity
--------------	--------------

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20	= <input type="text"/> X <input type="text"/> 18 = <input type="text"/>	
Independent Claims	-3	= <input type="text"/> X <input type="text"/> 84 = <input type="text"/> 0	
Multiple Dependent	<input type="checkbox"/>	X <input type="text"/> 280 = <input type="text"/>	

Large Entity	Small Entity
--------------	--------------

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 00.00

*or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES					
Large Entity	Small Entity	Fee Description			
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,280	241	640	Petition to revive – unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	130.00
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 130.00

SUBMITTED BY					
Name (Print/Type)		Registration No. Attorney/Agent)		Telephone	(302) 594-6813
Signature				Date	November 20, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

UNITED STATES PATENT & TRADEMARK OFFICE
Washington, D.C. 20231

REQUEST FOR PATENT FEE REFUND					
1 Date of Request: <u>12/5/02</u>		2 Serial/Patent # <u>10 087, 074</u>			
3 Please refund the following fee(s):		4 PAPER NUMBER	5 DATE FILED	6 AMOUNT	
<input type="checkbox"/>	Filing			\$	
<input type="checkbox"/>	Amendment			\$	
<input type="checkbox"/>	Extension of Time			\$	
<input type="checkbox"/>	Notice of Appeal/Appeal			\$	
<input checked="" type="checkbox"/>	Petition	7	<u>11/18/02</u>	\$ 130	
<input type="checkbox"/>	Issue			\$	
<input type="checkbox"/>	Cert of Correction/Terminal Disc.			\$	
<input type="checkbox"/>	Maintenance			\$	
<input type="checkbox"/>	Assignment			\$	
<input type="checkbox"/>	Other			\$	
		7 TOTAL AMOUNT OF REFUND	\$ 130		
8 TO BE REFUNDED BY:					
<input type="checkbox"/>	Treasury Check				
<input checked="" type="checkbox"/>	Credit Deposit A/C #: <u>9 08 -- 1 600</u>				
10 REASON:					
<input type="checkbox"/>	Overpayment				
<input checked="" type="checkbox"/>	Duplicate Payment				
<input type="checkbox"/>	No Fee Due (Explanation):				
11 REFUND REQUESTED BY: <u>C. T. Donnell</u>					
TYPED/PRINTED NAME: <u>C. T. Donnell</u>		TITLE: <u>Pet. Atty</u>			
SIGNATURE: <u>C. T. Donnell</u>		PHONE: <u>306-5589</u>			
OFFICE: <u>4700</u>					
***** THIS SPACE RESERVED FOR FINANCE USE ONLY: *****					
APPROVED: <u>John Kelly</u>		DATE: <u>12/9/02</u>			

Instructions for completion of this form appear on the back. After completion, attach white and yellow copies to the official file and mail or hand-carry to:

Office of Finance
Refund Branch
Crystal Park One, Room 802B



#7

CERTIFICATE OF MAILING

I Hereby Certify That This Correspondence Is Being Deposited
With The United States Postal Service As First-Class Mail In An
Envelope Addressed To: Assistant Commissioner Of Patents,
Washington, D.C. 20231, On November 12, 2002

Darcy A. White

Darcy A. White

November 12, 2002

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Cynthia D. Walton &
Joseph F. Warhol

CASE NO: DKT 10125

APPLICATION NO: 10/087,074

GROUP ART UNIT: 1731

FILED: March 1, 2002

EXAMINER: UNKNOWN

FOR: RESINS ACTING AS WET
STRENGTH AGENTS AND CREEPING AIDS
AND PROCESSES FOR PREPARING AND
USING SAME

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OFFICE OF PETITIONS

REQUEST FOR RECONSIDERATION OF PETITION UNDER 37 CFR**1.47(a)**

Commissioner for Patents
Box DAC
Washington, D.C. 20231
Attention: Senior Petitions Attorney Christina Tartera Donnell

Sir:

This is in response to the decision of the Office of Petitions mailed on September 12, 2002, dismissing Applicants' 37 CFR 1.47(a) petition. In light of the Statement of Facts contained herein below, please reconsider your decision dismissing Applicants' petition.

It is believed that a fee of \$130.00 is required for the filing of this document. If any additional fee is necessary to prevent abandonment of this application, then such fee is hereby authorized to be charged to our Deposit

Account 08-1800.

Adjustment date: 12/06/2002 AKELLEY
11/20/2002 AWONDAF1 00000075 081800 10087074
01 FC:1460 130.00 CR

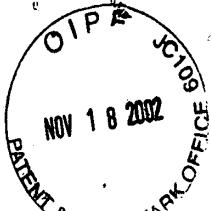
STATEMENT OF FACTS

This statement is made to rectify the deficiency noted by the Office of Petitions in Applicant's July 19, 2002 petition under 37 CFR 1.47(a). A person

11/20/2002 AWONDAF1 00000075 081800 10087074

01 FC:1460 130.00 CR

PAC 547994v1 11/12/02 11:34am

**HERCULES**Ref: SAMUELS-8/1106570001
Dept:

Hercules Incorporated

Date: 240C '2 SHIPPING \$6.83
Wgt: 0.65 LBS SPECIAL \$0.20
HANDLING \$0.00

TOTAL \$7.03

SERVICE: STANDARD OVERNIGHT
TRACK: 6128 8002 9822Date Oct 24 2002

To: Mail Supervisor—Hercules Plaza, B121 NW

From: Gay SummersThis is urgent mail. Dispatch by FEP-EXto Kenneth Ig (Service Requested)
(City) PA (State) (Country)

is requested.

11065700611510200000

(Charge Code)

(Signature)

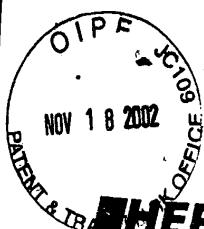
HER. 28782 (2 PT.) REV. 2-96

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NOV 20 2002

OFFICE OF PETITIONS



HERCULES

Law Department

Sent by Fed-ex

Hercules Incorporated
Hercules Plaza
1313 North Market Street
Wilmington, DE 19894-0001
(302) 594-5000
www.herc.com

Attorney's Direct Dial
Telephone: (302) 594-6813
Facsimile: (302) 594-6998

October 23, 2002

Ms. Cynthia Walton
466 West Street
Kennett Square, PA 19348

RE: "Resins Acting as Wet Strength Agents and Creping Aids
and Processes for Preparing and Using the Same"
Our Ref.: DKT 10125

Dear Ms. Walton:

Following up my previous letters regarding the above-referenced case, you will find enclosed the Declaration and Assignment forms, as well as application DKT 10125 as filed in the USPTO.

We would appreciate it if you could sign the documents and return them to us after the assignment has been notarized. We, of course, will reimburse you for the cost of notarizing. Also, we have enclosed a post-paid envelope for you to return the executed documents.

Thanks so much for your prompt consideration in this matter.

Very truly yours,

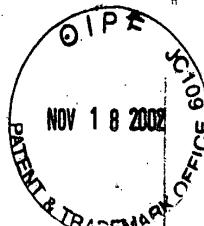
Gary A. Samuels
Chief Counsel
Intellectual Property

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NOV 20 2002

OFFICE OF PETITIONS

GAS:dal
enclosures
C:\deb\waltongary6



NOV 18 2002



Ref: LINDINGER-8/1106570001

Dept:

Date: 20SEP02 SHIPPING \$6.99
Wgt: 0.65 LBS SPECIAL \$0.17
HANDLING \$0.00

SERVICE: STANDARD OVERNIGHT
TRACK: 6128 8002 6249

TOTAL \$7.16

Date

9/26/02

To: Mail Supervisor—Hercules Plaza, B121 NW

From: Mary Samuels

This is urgent mail. Dispatch by First of next day

to Kennett Square PA
(City) (State) (Country)

is requested.

11065700011510300000
(Charge Code)

Deborah L. Linder
(Signature)

HER. 28782 (2 PT) REV. 2-96

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HERCULES



Law Department

Sent by Fed-ex

Hercules Incorporated
Hercules Plaza
1313 North Market Street
Wilmington, DE 19894-0001
(302) 594-5000
www.herc.com

Attorney's Direct Dial
Telephone: (302) 594-6813
Facsimile: (302) 594-6998

September 20, 2002

Ms. Cynthia Walton
466 West Street
Kennett Square, PA 19348

RE: "Resins Acting as Wet Strength Agents and Creping Aids
and Processes for Preparing and Using the Same"
Our Ref.: DKT 10125

Dear Ms. Walton:

Following up my previous letters regarding the above-referenced case, you will find enclosed the Declaration and Assignment forms, as well as application DKT 10125 as filed in the USPTO.

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Thanks so much for your prompt consideration in this matter.

Very truly yours,


Gary A. Samuels
Chief Counsel
Intellectual Property

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Creation date: 09-12-2003

Indexing Officer: KNANCE - KERMIT NANCE

Team: OIPEBackFileIndexing

Dossier: 10087074

Legal Date: 12-06-2002

No.	Doccode	Number of pages
1	PETDEC	1
2	LET.	1

Total number of pages: 2

Remarks:

Order of re-scan issued on